Total No. of Questions : 4]

SEAT No. :

PD-1420

[Total No. of Pages : 2

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T.Y.B.B.A.
B 506 : LEGAL ASPECTS OF FINANCE & SECURITY LAWS
(2019 Pattern) (CBCS) (Semester - V)

Time : 2 Hours] *[Max. Marks : 50*

Q1) A) Select the correct answer **[5]**

i) With whom does the ownership of Public sector banks rest?

a) Jointly with Government of India and share-holders from the public.

b) Jointly with Government of India and state Bank of India.

c) Jointly with Government of India and Reserve Bank of India.

d) Government of India.

ii) What is the minimum limit of commercial paper, an instrument of money market?

a) Rs. 5,00,000

b) Rs. 10,00,000

c) Rs. 1,00,000

d) Rs. 20,00,000

iii) The minimum number of members are required while you are registering a private company is

a) 5

b) 7

c) 3

d) 2

P.T.O.

iv) Companies are new alloted a ______ in addition to their name.
   a) PIN
   b) TIN
   c) CIN
   d) DIN

v) Which of the following is not a credit rating agency?
   a) CRISIL
   b) ICRA
   c) NIKKEI
   d) CARE

B) Match the pairs **[5]**

Group A		Group B	
i)	Primary markets	a)	IPO
ii)	BSE	b)	Expects the price of share to rise
iii)	Bull	c)	MOA
iv)	Capital clause	d)	One of oldest stock exchange of India
v)	SEBI	e)	Protect the interest of investor in the securities market.

Q2) Explain the difference between capital markets and money market **[10]**

OR

Explain employee stock option scheme and employee stock purchase scheme. **[10]**

Q3) Explain the features of Memorandum of association and Articles of Association. **[10]**

OR

Explain the procedure and eligibility to register under GST with benefits of GST registration. **[10]**

Q4) Write short notes (any 4) **[20]**
   a) Securities market
   b) Forward Market Commission of India (FMC)
   c) Define company and features
   d) Articles of Association
   e) GST Network