

[6225]-511

T.Y. B.B.A.

**506B : LEGAL ASPECTS OF FINANCE AND SECURITY
LAWS**
(2019 Pattern) (CBCS) (Semester - V)

*Time : 2½ Hours]**[Max. Marks : 50]**Instructions to the candidates:*

- 1) *All questions are compulsory.*
- 2) *Figures to the right indicate full marks.*

Q1) A) Select the correct answer : [5]

- i) Which of the following is/are the examples of derivatives?
 - a) Forwards
 - b) Futures
 - c) Swaps
 - d) All of the above
- ii) Multiple listings means _____.
 - a) Listing of various securities of the company
 - b) Listing of securities on various stock exchanges
 - c) Listing of securities of group concern
 - d) None of the above
- iii) IEPF stands for _____.
 - a) Investor Education and Protection Fund
 - b) Investor Educating and Protecting Fund
 - c) Investment and Education Protection Fund
 - d) Investment and Export Promotion Fund
- iv) IGST stands for _____.
 - a) International Goods and Services Tax
 - b) Integrated Goods and Services Tax
 - c) Interdistrict Goods and Services Tax
 - d) Intergovernmental Goods and Services Tax

B) Match the Pairs :

[5]

Group A	Group B
Commercial Bills	Primary Market
IPO	2009
SEBI (ICDR) Regulations	Money Market
Name Clause	2017
GST Act	Memorandum of Association

Q2) What is Forward Market Commission of India? Explain its functions in detail. [10]

OR

What is delisting of securities? Explain various types of delisting.

Q3) What is Memorandum of Association? Explain different clauses/contents of Memorandum of Association. [10]

OR

What is Goods and Services Tax? Explain various types of GST.

Q4) Write short notes (any four) :

[20]

- a) Pension Fund Regulatory and Development Authority (PFRDA)
- b) Employee Stock Option Scheme (ESOS)
- c) Articles of Association
- d) Goods and Services Tax Network (GSTN)
- e) Derivatives

